

# NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2020)

This questionnaire is designed to help National Contact Points fulfil their reporting obligations as described in the Procedural Guidance of the OECD Guidelines for Multinational Enterprises. The answers to the questions should reflect the nature and results of the activities of the NCP<sup>1</sup>.

## STRUCTURE

Exceptionally, in 2020 this questionnaire comprises two parts.

Part I is the annual reporting questionnaire for NCPs comprising 61 questions and 2 annexes under the following headings:

- A. Contact information
- B. Institutional arrangements
- C. Information and promotion
- D. Specific instances
- E. Peer learning and peer reviews
- F. Policy coherence
- G. Challenges

Annex 1: NCP PROMOTIONAL ACTIVITIES

Annex 2: SPECIFIC INSTANCES

Part II is an optional set of questions related to the OECD Guidelines stocktaking exercise.

## TYPES OF QUESTIONS (relating to Part I. only)

### 1. Questions with predefined answers

For most questions, a pre-defined set of answers are provided in a drop-down menu (e.g. Yes-No-N/A). For each of these questions, please select the appropriate answer in the dropdown menu.

*Example:*

(b) Promotional activities	
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	Yes/No

### 2. Open-ended questions

- a) Some questions are open-ended, and in these cases an answer box is provided. Many of these questions are meant to complement a question with predefined answers. Please keep responses precise and short.

<sup>1</sup>

Page 74 of the Procedural Guidance of the OECD Guidelines for Multinational Enterprises: “Reports should contain information on the nature and results of the activities of the NCP, including implementation activities in specific instances”.

**Example:**

<b>(b) Advisory body</b>	
5. Does the NCP have one or several advisory bodies?	Yes/No
If the NCP has several advisory bodies, please specify.	<a href="#">Click here to enter text.</a>

- b) “Additional comments” boxes are situated at the end of each section. Please feel free to enter any additional information you would like to share in these boxes.

**Example:**

*Additional comments on Advisory body*

[Click here to enter text](#)

#### **TERMS USED IN THE QUESTIONNAIRE**

A number of terms are used throughout the questionnaire. Definitions are provided below. Please note that these definitions are exclusively intended to assist in clarifying the terms used in this questionnaire. These terms will be underlined the first time they are used in this questionnaire.

- **Structure of the NCP:** The organisational set up of the NCP. Four NCP structures have been pre-identified, but other arrangements are possible:
  - Single-agency: The NCP is composed of one individual in a single ministry or government agency, or by a group of individuals belonging to the same service in the same ministry or government agency.
  - Inter-agency: The NCP is composed of a group of representatives from several ministries or government agencies.
  - Expert-based: The NCP is composed of experts who are external to government.
  - Multipartite: The NCP is composed of a group of government officials and stakeholder representatives.
- **Secretariat:** Government officials or group of government officials acting as the permanent office of an NCP.
- **Advisory body:** Some NCPs have an advisory body which can be consulted by the NCP on a range of activities and issues on which it provides advice. Advisory bodies can include representatives from business, trade unions, NGOs and/or academia, along with representatives of other government ministries or agencies. They do not normally form part of the NCP and do not have decision-making power on accepting or concluding specific instances. Some advisory bodies also provide oversight to the NCP (see below).
- **Oversight:** Monitoring of the NCP’s activities performed by an advisory body, for example on whether the NCP has followed its own rules of procedures.

- **Dedicated budget:** A budget that is allocated to the NCP on a regular basis (e.g. every year) to carry out its functions and activities.
- **Reporting:** In addition to the present report to the OECD, some NCPs provide a regular account of their activities to their national government or parliament. This reporting can take several forms: ongoing through regular reporting channels, or through the presentation of a periodic report, which may be made public.
- **Stakeholder meeting:** A stakeholder meeting is a meeting organised by the NCP to exchange with stakeholders, including trade unions, NGOs and the business community that are not part of the main body or of the advisory body of the NCP.

## REPORTING PERIOD

The reporting period for this questionnaire is from 1 January to 31 December 2020.

## SUBMISSION

The questionnaire should be completed by using this word document and sent by email to: [RBC@oecd.org](mailto:RBC@oecd.org) copying [nicolas.hachez@oecd.org](mailto:nicolas.hachez@oecd.org) and [carissa.munro@oecd.org](mailto:carissa.munro@oecd.org) by **22 January 2021**.

Should you have any questions on how to fill this questionnaire, please contact [carissa.munro@oecd.org](mailto:carissa.munro@oecd.org).

## I. NATIONAL CONTACT POINT ANNUAL REPORTING QUESTIONNAIRE (2020)

<b>A. CONTACT INFORMATION</b>	
<p><i>Please provide the contact information of the person filling in the questionnaire.</i></p>	
Name:	Daniela Koladová
Job title:	Chair of the National Contact Point for OECD Directives
Email:	daniela.koladova@mhsr.sk
Telephone number:	+421 2 4854 1321
<b>B. INSTITUTIONAL ARRANGEMENTS</b>	
<b>(a) Structure, location and composition of the NCP</b>	
1. What is the <u>structure</u> of the NCP?	Inter-agency  a) Ministry of Economy of the SR - chair b) Ministry of Foreign and European Affairs of the SR – member c) Ministry of Finance of the SR – member d) Ministry of Labour, Social Affairs and Family of the SR – member e) Ministry of Justice of the SR – member f) Ministry of Environment of the SR – member g) Ministry of Education, Science, Research and Sport of the SR – member h) National Bank of the SR – member i) Export-Import Bank of the SR – member j) National Union of Employers – member k) Confederation of the Trade Unions of the SR – member l) Chamber of NGOs of the SK Government Council for NGOs – member m) Ministry of Interior of the SR – member n) Ministry of Agriculture and Rural Development of the SR – member o) Central European Corporate Governance Association – member p) Government Office of the Slovak Republic - member  Ministry of Economy of the SR, Department of the Bilateral Trade Cooperation – secretariat
2. Who are the members of the NCP? <i>Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.</i>	
3. If the NCP is not a <u>single-agency</u> NCP, does it have a <u>secretariat</u> ?	Yes
If yes, in which ministry or agency?	Ministry of Economy of the Slovak Republic
If yes, in which department of the ministry or agency?	Bilateral Trade Cooperation Department
4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?	Yes

If yes, please provide the name and date of this instrument, and a link if available	Decree of the Minister of Economy of the Slovak Republic No. 3/2016		
<i>Additional comments on Structure, location and composition of the NCP</i> Click here to enter text.			
<b>(b) Advisory body</b>			
5. Does the NCP have one or several <u>advisory bodies</u> ?	No		
If the NCP has several advisory bodies, please specify.	N/A		
If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing <u>oversight</u> , etc.)	N/A		
If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. <i>(e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.)</i>	N/A		
If yes, please indicate how often the advisory body(ies) meets	N/A		
6. If an advisory body provides oversight, please describe the oversight procedure	N/A		
<i>Additional comments on Advisory body:</i> Click here to enter text.			
<b>(c) Human and financial resources</b>			
7. Does the NCP have dedicated full-time staff? <i>If yes, please indicate the number.</i>	No	Click here to enter text.	
8. Does the NCP have dedicated part-time staff? <i>If yes, please indicate in the second box the number of part-time staff members and in the third box the percentage of time spent on NCP matters for each part-time staff member.</i>	Yes	5	20%
9. Have any full-time or part-time staff members joined the secretariat during the year? <i>If yes, please indicate how many.</i>	No	Click here to enter text.	
10. Have any full-time or part-time staff members left the NCP during the year? <i>If yes, please indicate how many.</i>	No	Click here to enter text.	
11. Did the NCP have a <u>dedicated budget</u> this year? If no, were financial resources for promotional activities allocated on an ad hoc basis when requested by the NCP?	No		
	Yes		

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If no, were financial resources for specific instances allocated on an ad hoc basis when requested by the NCP?	N/A: The NCP did not handle specific instances this year
12. During the year, did the human and financial resources available to the NCP allow it to:	
Handle specific instances in an efficient and timely manner	N/A: The NCP did not handle a specific instance this year
Organise promotional events	N/A: The NCP chose not to organise promotional events
Attend NCP meetings at the OECD	Yes
Attend events organised by other NCPs	N/A: The NCP was not invited to/chose not to attend such events
Attend events organised by stakeholders	N/A: The NCP was not invited to/chose not to attend such events
Cover professional mediator fees or in-house mediator fees	N/A: The NCP did not need to hire a mediator this year
Conduct fact-finding research into specific instances	N/A: The NCP did not need to conduct fact finding research this year
If you answered no to any of the above, please specify	<a href="#">Click here to enter text.</a>
<i>Additional comments on Human and financial resources:</i> <b>All costs related to NCP tasks are handled on ad hoc basis</b>	
<b>(d) Reporting</b>	
13. Does the NCP <u>report</u> to the executive on its activities?	No
If yes, how often?	N/A
14. Does the NCP report to the legislative body on its activities?	No
If yes, how often?	N/A
15. Please indicate any other relevant transparency requirement (e.g. access to information or disclosure laws) applicable to the NCP in your country	<a href="#">Click here to enter text.</a>
<i>Additional comments on Reporting:</i> <a href="#">Click here to enter text.</a>	

#### C. INFORMATION AND PROMOTION

##### (a) NCP website<sup>2</sup>

<sup>2</sup>

This includes dedicated NCP webpages as part of the Ministry's website.

16. Does the NCP have a website? If yes, please provide the link in the second box.	Yes	<a href="https://www.mhsr.sk/obchod/multilateralne-obchodne-vztahy/oecd/narodne-kontaktnemiesto-pre-smernice-oecd-pre-nadnarodne-spolocnosti">https://www.mhsr.sk/obchod/multilateralne-obchodne-vztahy/oecd/narodne-kontaktnemiesto-pre-smernice-oecd-pre-nadnarodne-spolocnosti</a>
If yes, was the website created/renovated this year?	No	
17. Are the following items available on the NCP website?		
<b>Information about the Guidelines and the role of the NCP</b>		
The text of the Guidelines	Yes	
A description of the Guidelines	Yes	
The OECD Due Diligence Guidance Documents	No	
Explanatory text about due diligence	No	
Information on the NCP and its mandate	Yes	
The NCP Annual Report submitted to the OECD	No	
The NCP's Report to the executive and/or legislative (if applicable)	N/A: The NCP does not report to the executive and/or legislative	
The NCP's peer review report (if applicable)	N/A: The NCP does not have a peer review report	
<b>Information about specific instances</b>		
Information on how to submit a specific instance	Yes	
An online form to submit a specific instance	No	
The NCP's rules of procedure	Yes	
All final statements since 2011	No	
<b>Information on promotional activities</b>		
The NCP's promotional plan	N/A: The NCP does not have a promotional plan	
Information on upcoming events promoting the Guidelines	No	
Information on past events promoting the Guidelines	Yes	
<b>Contact information</b>		
Information on how to make an enquiry to the NCP	Yes	
A phone number to reach the NCP directly	Yes	
An email address to reach the NCP directly	Yes	
<i>Additional comments on NCP website:</i> Click here to enter text.		
<b>(b) Promotional activities<sup>3</sup></b>		
18. Does the NCP have a promotional plan for the coming year(s)?	No	

<sup>3</sup> Attendance of NCP meetings held at the OECD and other OECD events such as the Global Forum on Responsible Business Conduct are not considered to be promotional activities.

19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	No
20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? <i>If yes, please provide details in table 2 in Annex 1.</i>	No
21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?	No
22. Did the NCP hold a stakeholder meeting during the year?	No
23. Did the NCP promote the Guidelines among the business community during the year?	No
24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?	No
25. Did the NCP promote the Guidelines among NGOs during the year?	No
26. Did the NCP promote the Guidelines among trade unions during the year?	No
27. Did the NCP promote the Guidelines among government agencies during the year?	No
28. Did the NCP promote the Guidelines among embassies abroad during the year?	No
29. Did the NCP promote the Guidelines to investment promotion agencies during the year?	No
30. Did the NCP focus on any of the following during promotional activities during the year:	
OECD Due Diligence Guidance for Responsible Business Conduct	No
OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector	No
Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors	No
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	No
OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector	No
OECD-FAO Guidance for Responsible Agricultural Supply Chains	No
<i>Additional comments on the section <b>Promotional activities</b>:</i> Click here to enter text.	

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<b>D. SPECIFIC INSTANCES</b>		
<b>(a) NCP rules of procedure for handling specific instances</b>		
31. Does the NCP have rules of procedure describing the handling of specific instances?	Yes	
32. Are the rules of procedure available online? <i>If yes, please provide a link in the second box. If no, please attach a copy of the rules of procedure to this questionnaire</i>	Yes	Click here to enter text. <a href="https://www.mhsr.sk/uploads/files/YHpUpYq0.pdf">https://www.mhsr.sk/uploads/files/YHpUpYq0.pdf</a>
33. Were the NCP's rules of procedure modified this year?	No	
<i>Additional comments on the section <b>Rules of procedure</b>:</i> Click here to enter text.		
<b>(b) Specific instance practicalities</b>		
34. Does the NCP confirm receipt of a specific instance submission?	<i>N/A: The NCP has never received a specific instance</i>	
35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance?	<i>N/A: The NCP has never received a specific instance</i>	
36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?	No	
37. Did the NCP engage professional mediators during the year?	<i>N/A: The NCP did not handle specific instances this year</i>	
38. Did the NCP staff or members conduct mediation this year?	<i>N/A: The NCP did not handle specific instances this year</i>	
<i>Additional comments on the section <b>Specific instance practicalities</b>:</i> Click here to enter text.		
<b>(c) Reporting specific instances</b>		
39. Did the NCP receive new specific instance submissions during the year? <i>If yes, please also provide details in Annex 2</i>	No	
40. Did the NCP close specific instances during the year? <i>If yes, please also provide details in Annex 2</i>	No	

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41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? <i>If yes, please also provide details in Annex 2</i>	N/A
42. Did the NCP follow up on a case during the year? <i>If yes, please also provide details in Annex 2</i>	N/A
43. Is the OECD <a href="#">case database</a> accurate and up to date with regard to cases handled by the NCP? If not, please provide details (missing cases, out of date entries, broken links, etc.) in the additional comments section below.	N/A
<i>Additional comments on the section Reporting specific instances:</i> Click here to enter text.	

<b>E. PEER LEARNING AND PEER REVIEWS</b>	
44. Did the NCP take part in the following activities with other NCPs during the year:	
Host a peer learning activity	No
Participate in peer learning activities hosted by other NCPs	No
Co-operate with other NCPs in handling specific instances	<i>N/A: The NCP did not handle specific instances this year, either as lead or supporting NCP</i>
Provide mentoring/capacity building to another NCP	No
45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2020?	No
46. Which topic would the NCP consider to be a priority to cover in a peer learning event?	Not approved yet
47. Is the NCP interested in participating in developing tools for use by NCPs?	Yes
48. Is the NCP interested in acting as a peer reviewer in the future?	Yes
If yes, please specify the semester and the year	<i>1<sup>st</sup> semester of 2023</i>

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*Additional comments on the section Peer-learning and peer reviews:*

[Click here to enter text.](#)

<b>F. POLICY COHERENCE<sup>4</sup></b>	
49. Have the Guidelines been referred to in relevant national legislation/regulations/policies adopted during the year? <i>(e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)</i>	Yes
If yes, please provide a short summary and a link to the legislation. <i>If a link is not available, please attach a copy of the legislation to this questionnaire.</i>	Export – Import Bank of the Slovak Republic (EXIMBANKA SR), Official Export – Credit Agency of the Slovak Republic and NCP member, have incorporated multiple explicit MNE Guidelines references, including compulsory consideration of any statements of reports made available by NCP, to environmental & social sustainability, anti-bribery and transparency related due diligence and risk assessment policy framework. Internal policy framework of EXIMBANKA SR is of binding nature and constitutes base for complex assessment processes of each application for support by means of product portfolio of the EXIMBANKA SR.
50. Did your country adopt a National Action Plan (NAP) this year? <i>If yes, please indicate which type of NAP.</i>	No N/A
If yes, please provide a link.	<a href="#">Click here to enter text.</a>
If yes, does the NAP make reference to the Guidelines?	Choose an item.
If yes, does the NAP make reference to the NCP?	Choose an item.
51. Was a NAP in development this year? <i>If yes, please indicate which NAP.</i>	No N/A
If yes, did the NCP participate in the development of the National Action Plan?	No
52. Did the NCP inform officials responsible for trade missions of its relevant statements and reports?	N/A: the NCP did not publish any statement this year
53. Did the NCP inform officials responsible for foreign trade and investment incentives of its relevant statements and reports?	N/A: the NCP did not publish any statement this year

<sup>4</sup> Paragraph 37 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises: “Statements and reports on the results of the proceedings made publicly available by the NCPs could be relevant to the administration of government programmes and policies. In order to foster policy coherence, NCPs are encouraged to inform these government agencies of their statements and reports when they are known by the NCP to be relevant to a specific agency’s policies and programmes [...].”

54. Did the NCP inform officials responsible for public procurement of its relevant statements and reports?	N/A: the NCP did not publish any statement this year
55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process?  If yes, please provide a link to the legislation. <i>If a link is not available, please attach a copy of the legislation to this questionnaire.</i>	No  Click to enter text.
56. Have public procurement officials/practitioners consulted the NCP on the Guidelines and OECD due diligence instruments or involved the NCP in specific public procurement opportunities?	No
57. Were public procurement officials involved in any of your training/outreach activities?	No
58. What tools or activities does the NCP develop to support public procurement practitioners on RBC and OECD due diligence?	N/A
59. Please identify any specific initiatives/good practices involving public procurement and RBC in your country.	N/A
60. Please include any other examples of policy coherence activities.	As outlined in answer to question 49, EXIMBANKA SR, Official Export – Credit Agency of the Slovak Republic and NCP member, is committed to perform official export support activities in line with international regulatory framework, including applicable MNE Guidelines provisions. MNE Guidelines references have been incorporated to internal due diligence and risk assessment policy framework of EXIMBANKA SR. Moreover, MNE Guidelines reference is contained in each application for support of EXIMBANKA SR, in pursuit of awareness-raising objectives among relevant parties engaged in respective export transaction. When undertaking environmental, social & human rights review of transactions, EXIMBANKA SR is at the same time obliged to consider any statements or reports made available by NCP.
<i>Additional comments on Policy Coherence:</i> Click here to enter text.	

G. CHALLENGES	
61. Has the NCP faced any particular challenge(s) it would like to highlight this year?	No

*Additional comments on Challenges:*

Click here to enter text.

## Annex 1: NCP PROMOTIONAL ACTIVITIES

**Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).**  
Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event.  
Please add additional lines if needed.

**Table 1**

NCP-organised and co-organised events to promote the Guidelines and/or the NCP							
Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
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Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
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Total number=	Click here to enter text.
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**Table 2**

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others								
Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <small>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc</small>	Organiser(s)	Type of intervention	Theme of the intervention
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.

Total number=

Click here to enter text.

## Annex 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and found to merit further examination after the initial assessment, and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to [ncp-specific-instances@oecd.org](mailto:ncp-specific-instances@oecd.org)<sup>5</sup>.

### For all specific instances that are currently IN PROGRESS:

Names of parties	Date received (dd/mm/yyyy)	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.

<sup>5</sup> According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.

<b>Total number=</b>	Click here to enter text.
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<b>For all specific instances that were CONCLUDED during the year:</b>						
<b>Title</b>	<b>Date received (dd/mm/yyyy)</b>	<b>Date concluded (dd/mm/yyyy)</b>	<b>Link to OECD database if available</b>	<b>Outcomes achieved</b>	<b>Does the final statement plan for follow up?</b>	<b>Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.</b>
Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.

<b>Total number=</b>	Click here to enter text.
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<b>For all specific instances that were NOT ACCEPTED during the year:</b>				
<b>Title</b>	<b>Date received (dd/mm/yyyy)</b>	<b>Link to OECD database if available</b>	<b>Reasons for not accepting the specific instance</b>	<b>Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.</b>

Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
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<b>For all specific instances for which FOLLOW UP was performed during the year:</b>				
<b>Title</b>	<b>Date concluded (dd/mm/yyyy)</b>	<b>Date of follow up (dd/mm/yyyy)</b>	<b>Was a public follow up statement issued?</b>	<b>Link to the follow up statement, if applicable</b>
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## II. QUESTIONS RELATED TO THE OECD GUIDELINES STOCKTAKING EXERCISE

### A. General questions

1. Please cite what you see as the top three achievements of the work on the OECD Guidelines for Multinational Enterprises.

Type your answer here:

- Digital transformation and digitalisation for responsible business conduct
- Addressing business impacts on the environment
- Contribution to the implementation of the Sustainable Development Goals

2. How does your country evaluate the overall suitability of the Guidelines to meet the challenges for RBC in the future? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1  2  3  4  5  6  7  8  9  10

3. How does your country evaluate the suitability of the Guidelines for the following themes? [Scale 1-10, with 10 being highest suitability and 1 the lowest] Please add any further comments to elaborate on your answer or describe how the Guidelines could better address those themes (e.g. through clarifications, a revision, additional guidance or analytical work, etc.):

- Digitalisation

1  2  3  4  5  6  7  8  9  10

Insert additional comments here:

- Diversity, including gender

1  2  3  4  5  6  7  8  9  10

Insert additional comments here:

- Global environmental issues (e.g. climate change, biodiversity loss; circular economy)

1  2  3  4  5  6  7  8  9  10

Insert additional comments here:

- Indigenous peoples' rights

1  2  3  4  5  6  7  8  9  10

Insert additional comments here:

- Animal welfare

1  2  3  4  5  6  7  8  9  10

Insert additional comments here:

- Integrity, lobbying

1  2  3  4  5  6  7  8  9  10

Insert additional comments here:

- Corporate governance (e.g. Director and fiduciary duties, senior management oversight and accountability, remuneration)  
 1  2  3  4  5  6  7  8  9  10

*Insert additional comments here:*

- Scope of enterprises covered (e.g. non-traditional MNEs, SMEs, other)  
 1  2  3  4  5  6  7  8  9  10

*Insert additional comments here:*

- Interpretation of the Guidelines and monitoring of NCP network (taking into account the creation of the WPRBC in 2013)  
 1  2  3  4  5  6  7  8  9  10

*Insert additional comments here:*

- Other (please specify):  
 1  2  3  4  5  6  7  8  9  10

*Insert additional comments here:*

4. How does your country evaluate the suitability of the provisions of the Procedural Guidance regarding interpretation of the Guidelines and monitoring of the NCP network, taking into account the creation of the WPRBC in 2013?  
*[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1  2  3  4  5  6  7  8  9  10

*Insert additional comments here:*

5. The 2011 update of the Guidelines introduced the “proactive agenda” which has developed and implemented due diligence guidance through multi-stakeholder processes in specific sectors or for specific RBC issues. What would you say are the main achievements of the Working Party’s multi-stakeholder work on due diligence since its inception, and what should be prioritised or included to address the key issues for RBC in the future?

*Type your answer here:*

The main achievements of the Working Party are the promotion of the Guidelines, support to the National Contact Points, the implementation of due diligence tools in global supply chains and policies to enable RBC, as well as engagement with Adherents and non-Adherents. Building relations with other government departments with a view to fostering policy coherence for RBC as well as raising awareness on RBC should be among the key issues for the RBC in the future.

6. How does your country evaluate the suitability of the provisions on due diligence in the Guidelines, in particular in light of the various due diligence guidance

adopted since 2011? [Scale 1-10, with 10 being highest suitability and 1 the lowest] Please add any further comments to elaborate on your answer or describe how the Guidelines could better address those themes (e.g. through clarifications, aligning commentary with core elements of due diligence from the OECD Due Diligence Guidance for RBC, cross referencing the various guidance in the Guidelines, etc.):

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8☒ 9□ 10□

*Insert additional comments here:*

## **B. Chapter-specific questions**

### **I. CONCEPTS AND PRINCIPLES**

1. How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8□ 9□ 10☒

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

*Type your answer here:*

This chapter is well-adapted and successful in addressing the contemporary challenges across all specific themes but primarily in encouraging the widest possible observance of the Guidelines and also in encouraging the use of appropriate international dispute settlement mechanisms, including arbitration.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

*Type your answer here:*

None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

*Type your answer here:*

The Slovak NCP has not yet dealt with any specific instances.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Not available.

## II. GENERAL POLICIES

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8□ 9☒ 10□

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

This chapter is well-adapted and successful in addressing the contemporary challenges in encouraging enterprises to co-operate with governments in the development and implementation of policies and laws and in acknowledging and encouraging the contribution that MNEs can make to local capacity building as a result of their activities in local communities.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

The Slovak NCP has not yet dealt with any specific instances.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Not available.

### **III. DISCLOSURE**

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8□ 9☒ 10□

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

*Type your answer here:*

This chapter is well-adapted and successful in encouraging enterprises to apply high quality standards for accounting, and financial as well as non-financial disclosure, including environmental and social reporting where they exist.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

*Type your answer here:*

None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

*Type your answer here:*

The Slovak NCP has not yet dealt with any specific instances.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

*Type your answer here:*

Not available.

### **IV. HUMAN RIGHTS**

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8□ 9☒ 10□

- On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

*Type your answer here:*

This chapter is well-adapted and successful in setting framework for the specific recommendations concerning enterprises' respect for human rights and in recommending that enterprises avoid causing or contributing to adverse human rights impacts through their own activities and address such impacts when they occur.

- Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

*Type your answer here:*

None.

- Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

*Type your answer here:*

The Slovak NCP has not yet dealt with any specific instances.

- Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

*Type your answer here:*

Not available.

## V. EMPLOYMENT AND INDUSTRIAL RELATIONS

- How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1 2 3 4 5 6 7 8 9 10

- On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

*Type your answer here:*

This chapter is well-adapted and successful in recommending that multinational enterprises contribute to the effective abolition of child labour and that that

enterprises contribute to the elimination of all forms of forced and compulsory labour.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:  
None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:  
The Slovak NCP has not yet dealt with any specific instances.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:  
Not available.

## VI. ENVIRONMENT

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8☒ 9□ 10□

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:  
This chapter is well-adapted and successful in stressing the importance of the framework of laws, regulations and administrative practices in the countries in which enterprises operate to protect the environment, public health and safety, and generally to conduct their activities in a manner contributing to the wider goal of sustainable development.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

The Slovak NCP has not yet dealt with any specific instances.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Not available.

## VII. COMBATTING BRIBERY, BRIBE SOLICITATION AND EXTORTION

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8☒ 9□ 10□

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

This chapter is well-adapted and successful in encouraging enterprises to develop and adopt adequate internal controls, ethics and compliance programmes or measures for preventing and detecting bribery as well as in addressing that good governance practices are important elements to prevent enterprises from being asked to pay bribes.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any

strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

The Slovak NCP has not yet dealt with any specific instances.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Not available.

## VIII. CONSUMER INTERESTS

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1 2 3 4 5 6 7 8 9 10

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

This chapter is well-adapted and successful in recognising that consumer satisfaction and related interests constitute a fundamental basis for the successful operation of enterprises and in underscoring the importance of enterprises to work with public authorities to help prevent and combat deceptive marketing practices more effectively.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

The Slovak NCP has not yet dealt with any specific instances.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Not available.

## IX. SCIENCE AND TECHNOLOGY

1. How does your country evaluate the continued relevance of the provisions in this Chapter? *[Scale 1-10, with 10 being highest suitability and 1 the lowest]*

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8☒ 9□ 10□

2. On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

This chapter is well-adapted and successful in aiming to that enterprises' activities are compatible with the science and technology policies and plans of the countries in which they operate and as appropriate contribute to the development of local and national innovative capacity.

3. Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

The Slovak NCP has not yet dealt with any specific instances.

5. Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Not available.

## X. COMPETITION

### NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2020)

- How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8□ 9☒ 10□

- On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

Type your answer here:

This chapter is well-adapted and successful in emphasising the importance of competition laws and regulations to the efficient operation of both domestic and international markets and reaffirm the importance of compliance with those laws and regulations by domestic and multinational enterprises.

- Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

Type your answer here:

None.

- Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

Type your answer here:

The Slovak NCP has not yet dealt with any specific instances.

- Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

Type your answer here:

Not available.

## XI. TAXATION

- How does your country evaluate the continued relevance of the provisions in this Chapter? [Scale 1-10, with 10 being highest suitability and 1 the lowest]

1□ 2□ 3□ 4□ 5□ 6□ 7□ 8□ 9□ 10☒

- On which specific themes or issues do you find this chapter to be particularly well-adapted to and successful in addressing the contemporary challenges faced by Adherents? Please provide examples.

*Type your answer here:*

This chapter is well-adapted and successful in stressing the importance that enterprises contribute to the public finances of host countries by making timely payment of their tax liabilities as well as the importance of co-operation with tax authorities and provision of the information they require to ensure an effective and equitable application of the tax laws.

- Which specific themes or contemporary challenges do you find this chapter currently fails to address or fails to address adequately? Please provide examples.

*Type your answer here:*

None.

- Please provide examples of the most relevant specific instances that your NCP has dealt with in relation to this chapter (please provide the link to the cases in the NCP case database, and a short explanation of what these cases show regarding the continued relevance or not of this chapter, explaining any strengths, weaknesses, gaps, or complexities of the Guidelines illustrated by the case)?

*Type your answer here:*

The Slovak NCP has not yet dealt with any specific instances.

- Please include information and links on any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of this Chapter.

*Type your answer here:*

Not available.

## PROCEDURAL GUIDANCE

- How does your country evaluate the continued relevance of the provisions of the Procedural Guidance? [Scale 1-10, with 10 being highest suitability and 1 the lowest]  
1 2 3 4 5 6 7 8 9 10
- Which existing provisions of the Procedural Guidance have enabled NCPs to effectively fulfil their mandate and lead to achievements?

*Type your answer here:*

Particularly, the most effective provisions of the Procedural Guidance in connection to NCP are following:

- raising awareness of the Guidelines and their implementation procedures,

- serving as a central point of information on the promotion and implementation of the Guidelines,
- establishing co-operation between other NCPs when appropriate.

3. Which provisions do you think are missing or are inadequate in this regard?

*Type your answer here:*

None.

4. Please provide examples of the most relevant specific instances that your NCP has dealt with, and which illustrate the continued relevance of the Procedural Guidance, leading to achievements; or which show gaps or inadequacies in the Procedural Guidance (please provide the link to the case in the NCP case database, and a short explanation)?

*Type your answer here:*

The Slovak NCP has not yet dealt with any specific instances.

5. Please include any national policy, regulation, guidance or other relevant document that are of relevance for the implementation of the Procedural Guidance.

*Type your answer here:*

Not available.

**END OF QUESTIONNAIRE**